



TA SECURITIES

A MEMBER OF THE TA GROUP

MEMORANDUM

To : ALL DEALER'S REPRESENTATIVES
From : THE MANAGEMENT
Date : 30 AUGUST 2017
Subject : (a) Electronic Statement (eStatement)
(b) Common Reporting Standard (CRS)

(A) Electronic Statement

The Company will be moving towards sending via electronic media the contract notes, contra statements, setoff statements and monthly statements to your clients.

In order for the Company to proceed along this avenue, we seek your assistance to obtain from your clients their email addresses so that we can update our records.

As your clients would need to give their consent, please get them to sign the 'Request For Electronic Statements' which is available from the respective Documentation Department or alternatively, your clients may download the form from our Company's website: www.tasecurities.com.my.

The advantages to your clients will be:

- a) Receiving the contract notes and statements in a timely manner in their personal emails.
- b) Minimise the risks of not receiving their contract notes and statements.

We look forward to your continued assistance as the Company endeavour to improve our services to your clients.

(B) Common Reporting Standard

With our Government signing the Inter Governmental Agreement on the automatic exchange of financial account information to improve international tax compliance, a Common Reporting Standard (CRS) was introduced. The CRS requires the Financial Institution to report the different types of accounts and taxpayers covered, as well as common due diligence procedures to be followed. This is to ensure that the taxpayer do not fail to comply with the tax obligations in their home jurisdiction.

With immediate effect, all your clients would need to provide the additional information required below:

- a) Income Tax Number or Tax Payer's Identification number.
- b) Tax Residency (country where tax is payable).

You may update your clients information by completing the KYC-Updating of Account Particular form.

Should you need further information, please contact the officers in charge at the respective branches for assistance.

Thank you.